

Nikki King

The Aspire Partnership LLP : : 61 Queen Charlotte Street, Bristol BS1 4HQ

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Profile

Nikki has extensive management and compliance experience within the financial services industry as well as industry qualifications that allow her a sense of 'what's right' and to manage the risk for the Firm. Interpersonal and communications skills help her design, write and produce external marketing and internal process documents that are clear and transparent and support the Aspire brand.

Qualifications & Affiliations

Nikki holds the CII Diploma in Financial Planning and undertakes the necessary continual professional development for her role including practice management and supervisor courses.

- CII Diploma in Financial Planning (obtained 2007)
- Other CII qualifications include G10 taxation and trusts, CF9 Pension simplification. CF8 Long term care, Mortgage advice qualification (MAQ), FPC 1,2 3.

Interests

Keeping active personally and with her family; running, cycling, skiing

Current position

The Aspire Partnership LLP
Founding Partner

Jan 2010 – Present

The Aspire Partnership was created to offer a financial planning service which helps people make the most of the opportunities that they come across. Aspire deal predominantly with the financial needs of individuals, small business owners and those who look after other peoples' money (Trustees, Attorneys & Deputys). Aspire offers tailored services to meet the needs of these different client groups.

Responsibilities: Managing Planner – responsible for corporate governance of a firm directly regulated by the FCA, human resources, training and competence of planners & employees and brand development

Nikki is also a Director of The Aspire Consultancy, a company that focusses on corporate solution and the needs of business owners and employers.

Previous work history

Taylor King Consulting Ltd – Bristol
Director

September 2008 – June 2010

Consultancy business focussed on small businesses/one man bands within the financial services market – a professional helping hand most commonly in the areas of change management, risk management, marketing and process implementation.

Thinc Financial consultancy – Bristol
Client sales & Relationship Manager

October 2007 – July 2008

- Provided marketing support and paraplanning services to IFAs (Note:Thinc Financial Consultancy bought Crest Financial Group Ltd)

Crest Financial Group Ltd
Client Sales and Relationship Manager

September 2003- Oct 2007

- Organised business remodelling including undertaking project work on adviser profitability, fee based advice, client service proposition and brand development aimed at increasing profit margins and positioning for sale
- Headed Treating Customers Fairly (TCF) action committee and was responsible for firm risk management
- Supervisor to 8 IFAs and 4 mortgage brokers including running of a training and competence plan, meeting with advisers to monitor performance, train and test to achieve/maintain competency
- Managed the risk, training and competence and TCF for firm's appointed representative.

Gordon Thomas & Partners
Self Employed Financial Adviser / Business Manager

September 1999 – Sept 2003

- Advised clients on investments, pensions, protection and mortgages.
- Ensured ongoing smooth running of business including payroll, authorisation, compliance and risk.
- Initiated the design of a database and organised client data with the aim of presenting the company for sale, found prospective buyers, aided negotiations to achieve a successful sale. Managed transfer of clients.

Neales Financial Management Ltd
Para planner / Mortgage Adviser /Business development

August 1997 – Sept 1999

- Increased use of technology to increase efficiency & aid adherence to regulatory standards
- Assisted the merge of two IFA businesses.
- Adviser (mortgage) and paraplanner (pensions and investments) for two high production IFAs.

Bristol & West Building Society
Branch Manager

Feb 1993 - August 1997

- Achieved sales targets via building society products and the life insurance tied adviser.
- Managed resources (26 staff) to deliver excellent customer service to customers.
- Undertook staff appraisals and created plans to meet their development objectives.
- Monitored and controlled branch costs including pay awards and bonuses to staff.

Education:

University of West of England (Certificate in management), Leeds University (BA Hons Management Studies and Geography), Bristol Grammar School